

**Compliant data for Investment Adviser**

**Data for the month ending December 2021**

Sr. No.	Received from	Pending at the end of last month	Received	Resolved*	Total Pending#	Pending complaints > 3 months	Average Resolution time^ (in days)
1	Directly from Investors	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0
3	Other Sources (if any)	0	0	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

^ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

**Trend of monthly disposal of complaints**

Sr. No	Month	Carried forward from previous month	Received	Resolved*	Pending#
1	April, 2021	0	0	0	0
2	May, 2021	0	0	0	0
3	June, 2021	0	0	0	0
4	July, 2021	0	0	0	0
5	August, 2021	0	0	0	0
6	September, 2021	0	0	0	0
7	October, 2021	0	0	0	0
8	November, 2021	0	0	0	0
9	December, 2021	0	0	0	0
10	January, 2022	NA	NA	NA	NA
11	February, 2022	NA	NA	NA	NA
12	March, 2022	NA	NA	NA	NA
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\* Inclusive of complaints of previous months resolved in the current month. # Inclusive of complaints pending as on the last day of the month.

**Trend of annual disposal of complaints**

Sr. No	Year	Carried forward from previous year	Received	Resolved**	Pending##
1	2018-19	0	0	0	0
2	2019-20	0	0	0	0
3	2020-21	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

\*\* Inclusive of complaints of previous years resolved in the current year. ## Inclusive of complaints pending as on the last day of the year.